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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

		Application Number	09/966,732-3575
		Filing Date	September 28, 2001
		First Named Inventor	William Bowers et al.
		Group Art Unit	N/A
		Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission		Attorney Docket Number	22730-27

### ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment (Preliminary)	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Return Postcard
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<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
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Remarks		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name	NUTTER MCCLENNEN & FISH LLP William C. Geary III
Signature	
Date	December 10, 2001

### Transmittal

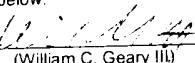
I hereby certify that this correspondence is being deposited with the U S Postal Service with sufficient postage as First Class Mail, in an envelope addressed to Box Non-Fee Amendment, Commissioner for Patents, Washington, DC 20231, on the date shown below.

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Docket No.: 22730-27  
(PATENT)

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6A  
DR  
4/19/2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: William Bowers et al.

Application No.: 09/966,732-3575

Filed: September 28, 2001

For: CLAMSHELL UF CENTRIFUGAL FILTER

**FIRST PRELIMINARY AMENDMENT**

**Box Non-Fee Amendment**  
Commissioner for Patents  
Washington, DC 20231

To the Commissioner:

Prior to examination on the merits, please amend the above-identified U.S. patent application as follows:

**In the Specification**

After line 6, please insert the following:

**Statement Regarding Federally Sponsored Research or Development**

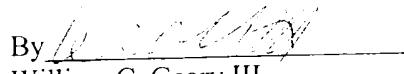
This invention was made with U.S. government support under NIH Grant No. 2R44-RR12066-02A1.

**REMARKS**

The Disclosure is amended to add a Statement Regarding Federally Sponsored Research or Development. Attached hereto are two clean replacement pages (1 and 1A) having the added statement, as well as a page (entitled "Version with markings to show changes made") showing the changes made to the application.

Dated: December 10, 2001

Respectfully submitted,

By   
William C. Geary III

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